



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO/TITLE
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09/360,575 07/26/99 VANSTONE

S 2189-19

0212/0812

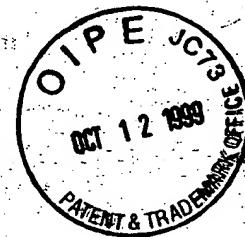
LAWRENCE A. MAXHAM
BAKER MAXHAM JESTER & MEADOR
750 B STREET
SUITE 3100
SAN DIEGO CA 92101

NOT ASSIGNED

2876

DATE MAILED:

08/12/99



NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
 small entity (statement filed) *non-small entity is \$ 890.00*

1. The statutory basic filing fee is:

missing.

insufficient.

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. The following additional claims fees are due:

\$ for total claims over 20.

\$ for independent claims over 3.

\$ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

is missing or unsigned.

does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Answered
Customer Service Center
Initial Patent Examination Division (703) 308-1202

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Receipt
"PATENTS"

FILE COPY

In re Application of:) Group Art Unit: 2876

SCOTT A. VANSTONE)

SERIAL NO.: 09/360,575)

FILED: 26 July 1999)

Examiner: Unknown

FOR: TRANSACTION VERIFICATION)
PROTOCOL FOR SMART CARDS)

Customer Correction Branch
Application Processing Division
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

REQUEST FOR CORRECTED FILING RECEIPT

It has come to the attention of the undersigned attorney that the originally issued Filing Receipt is incorrect.

Please change "BAKER, MAXHAM, JESTER & MEADOR" to "BAKER & MAXHAM" in compliance with all of the papers filed with the application.

Please change "Tot Cl 7" to - - Tot Cl 8 - -.

Please correct the records in the Patent and Trademark Office and issue a corrected Filing Receipt.

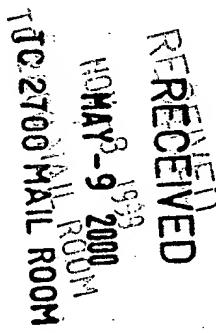
Respectfully submitted,

SCOTT A. VANSTONE

By: *Lawrence A. Maxham*
Lawrence A. Maxham
Attorney for Applicant(s)
Registration No. 24,483

Dated: October 1999

BAKER & MAXHAM
Symphony Towers
750 "B" Street, Suite 3100
San Diego, California 92101
Telephone: (619) 233-9004



SERIAL NUMBER 09/360,575	FILING DATE 07/26/99	CLASS 235	GROUP ART UNIT 2876	ATTORNEY DOCKET NO. 2189-19
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APPLICANT

SCOTT A. VANSTONE, WATERLOO, CANADA.

CONTINUING DOMESTIC DATA***

VERIFIED

371 (NAT'L STAGE) DATA***

VERIFIED

FOREIGN APPLICATIONS***

VERIFIED UNITED KINGDOM 9681924.5

01/31/95

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 08/12/99

Foreign Priority claimed 35 USC 119 (a-d) conditions met	<input checked="" type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance	STATE OR COUNTRY CAX	SHEETS DRAWING 1	TOTAL CLAIMS 7	INDEPENDENT CLAIMS 2
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ADDRESS

LAWRENCE A MAXHAM
BAKER MAXHAM JESTER & MEADOR
750 B STREET
SUITE 3100
SAN DIEGO CA 92101

TITLE

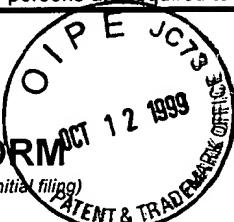
TRANSACTION VERIFICATION PROTOCOL FOR SMART CARDS

FILING FEE RECEIVED \$890	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT NO. _____ for the following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)



		Application Number	09/360,575
		Filing Date	26 July 1999
		First Named Inventor	SCOTT A. VANSTONE
		Group Art Unit	2876
		Examiner Name	Unknown
Total Number of Pages in This Submission	2	Attorney Docket Number	2189-19 LAM

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Two (2) Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Response (19 pages)	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Checklist and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	1. Request for Corrected Filing Receipt 2. Return postcard.
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53		

Remarks:

TC 2700 MAIL ROOM
RECEIVED
NOV - 8 1999
TC 2800 MAIL ROOM

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

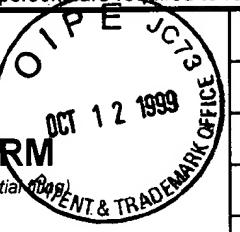
Firm Or Individual Name	LAWRENCE A. MAXHAM
Signature	
Date	7 October 1999

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail addressed to: Box Issue Fee, Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 7 October 1999

Typed or printed name	LAWRENCE A. MAXHAM		
Signature		Date	7 October 1999

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

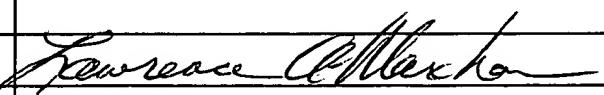
 <p>TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i></p>		Application Number	09/360,575
		Filing Date	26 July 1999
		First Named Inventor	SCOTT A. VANSTONE
		Group Art Unit	2876
		Examiner Name	Unknown
		Total Number of Pages in This Submission	5

ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i>	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Two (2) Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) <i>(please identify below):</i>
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	1. <input type="checkbox"/> Return postcard.
<input type="checkbox"/> Certified Copy of Priority Document(s)		
<input type="checkbox"/> Response to Missing Parts/Incomplete Application		
<input checked="" type="checkbox"/> Response to Missing Parts Under 37 CFR 1.52 or 1.53		

Remarks:

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual Name	LAWRENCE A. MAXHAM	
Signature		
Date	7 October 1999	

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail addressed to: Box Issue Fee, Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 7 October 1999

Typed or printed name	LAWRENCE A. MAXHAM	
Signature		Date
		7 October 1999

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEET TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement.
Otherwise large entity fees must be paid. See Forms PTO-646-09-12
See 37 C.F.R. §§ 1.27 and 1.28

TOTAL AMOUNT OF PAYMENT

(\$ 890)

OCT 12 1999
PATENT & TRADEMARK OFFICE
U.S. DEPARTMENT OF COMMERCE

Complete If Known

Application Number 09/360,575

Filing Date 26 July 1999

First Named Inventor Scott A. Vanstone

Group / Art Unit 2876

Examiner Name Unknown

Attorney Docket No. 2189-19 LAM

METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number: 020460
Deposit Account Name: Baker & Maxham

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance. CFR 1.311(b)

Payment Enclosed:

Check Money Order Other

FEET CALCULATION**1. BASIC FILING FEE**

Large Entity	Small Entity		
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 760	201 380	Utility filing fee	760
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 760	208 380	Reissue filing fee	
114 150	214 75	Provisional filing fee	
		SUBTOTAL (1)	(\$ 760)

2. EXTRA CLAIM FEES

Fee Paid	Extra	Fee from
Total Claims 14	- 20**	= 0 x = _____
Independent Claims 2	- 3**	= 0 x = _____
Multipile Dependent Claims		0 x = _____

** or number previously paid, if greater; For Reissues, see below
Large Entity Small Entity

Code (\$)	Code (\$)	
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent
		SUBTOTAL (2) (\$ 0)

FEET CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	130
127 50	227 25	Surcharge - late provisional filing or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for response within first month	
116 380	216 190	Extension for response within second month	
117 870	217 435	Extension for response within third month	
118 1,360	218 680	Extension for response within fourth month	
128 1,850	228 925	Extension for response within fifth month	
119 300	219 150	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive unavoidably abandoned application	
141 1,210	241 605	Petition to revive unintentionally abandoned application	
142 1,210	242 605	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 760	246 380	Filing a submission after final rejection (37 CFR 1.129(a))	
149 760	249 380	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify)
Other fee (specify)

SUBTOTAL (3) (\$ 130)

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Typed or Printed Name

LAWRENCE A. MAXHAM

COMPLETE (if applicable)

Reg. Number 24,483

Signature

Date

10/07/99

Deposit Account User ID

"PATENTS"

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SCOTT A. VANSTONE

SERIAL NO.: 09/360,575



Group Art Unit: 2876

FILED: 26 July 1999

Examiner: Unknown

FOR: TRANSACTION VERIFICATION
PROTOCOL FOR SMART CARDS

Box MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION -
FILING DATE GRANTED**

This document is submitted in response to the NOTICE TO FILE MISSING PARTS OF APPLICATION, dated 12 August 1999.

Transmitted herewith is a check in the amount of \$890 for filing fee and surcharge as per attached Notice, Form PTO-1533. The Declaration and Power of Attorney referred to in this Notice was forwarded with the original Application on 26 July 1999 and has been addressed, by telephone, with Ms. Barnes of the Initial Patent Examination Division on 4 October 1999.

The Commissioner is hereby authorized to charge any fees that arise in connection with this filing which are not covered by the money enclosed, or credit any overpayment, to Deposit Account No. 02-0460. A copy of this letter is enclosed.

Respectfully submitted,

SCOTT A. VANSTONE



By: LAWRENCE A. MAXHAM
Attorney for Applicants
Registration No. 24,483

BAKER & MAXHAM
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750 "B" Street, Suite 3100
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